

FORM 1449\*

Docket Number:

Application Number:

1001.1526101

10/772,979

Applicant: Wayne A. Becker et al.

Filing Date: February 5, 2004

Group Art Unit: Unknown

INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

(Use several sheets if necessary)

## U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
/DE/	6,673,080	01/06/04	Reynolds et al.		
	6,663,650	12/16/03	Sepetka et al.		
	6,540,722	04/01/03	Boyle et al.		
	6,478,776	11/12/02	Rosenman et al.		
	6,344,048	02/05/02	Chin et al.		
	6,156,064	12/05/00	Chouinard		
	6,066,158	05/23/00	Engelson et al.		
	5,972,019	10/26/99	Engelson et al.		
	5,895,398	04/20/99	Wensel et al.		
	5,843,103	12/01/98	Wulfman		
	5,836,868	11/17/98	Ressemann et al.		
	5,833,644	11/10/98	Zadno-Azizi et al.		
	5,827,324	10/27/98	Cassell et al.		
	5,792,157	08/11/98	Mische et al.		
	5,527,326	06/18/96	Hermann et al.		
	5,464,023	11/07/95	Viera		
	5,269,759	12/14/93	Hernandez et al.		

## FOREIGN PATENT DOCUMENTS


EXAMINER:

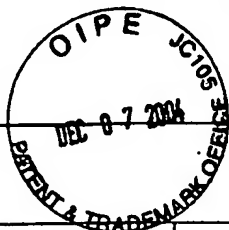
/Darwin Erezol/

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03/21/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<b>FORM PTO-1449</b>		<b>Atty. Docket No.:</b> 1001.1526101	<b>Serial No.:</b> 10/772,979
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>		<b>Applicant:</b> Wayne A. Becker et al.	
		<b>Filing Date:</b> February 5, 2004	<b>Group Art:</b> 3731



## PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
/DE/	6,344,049	02/05/02	Levinson et al.			
	6,319,268	11/20/01	Ambrisco et al.			
	6,309,399	10/30/01	Barbut et al.			
	6,290,710	09/18/01	Cryer et al.			
	6,287,321	09/11/01	Jang			
	6,280,413	08/28/01	Clark et al.			
	6,277,139	08/21/01	Levinson et al.			
	6,277,138	08/21/01	Levinson et al.			
	6,270,513	08/07/00	Tsugita et al.			
	6,264,672	07/24/01	Fisher			
	6,264,663	07/24/01	Cano			
	6,258,115	07/10/01	Dubrul			
	6,245,089	06/12/01	Daniel et al.			
	6,245,088	06/12/01	Lowery			
	6,245,087	06/12/01	Addis			
	6,238,412	05/29/01	Dubrul et al.			
	6,235,045	05/22/01	Barbut et al.			
	6,235,044	05/22/01	Root et al.			
	6,231,544	05/15/01	Tsugita et al.			
	6,224,620	05/01/01	Maahs			
	6,221,006	04/24/01	Dubrul et al.			
	6,214,026	04/10/01	Lepak et al.			
	6,206,868	03/24/01	Parodi			
	6,203,561	03/20/01	Ramee et al.			
V	6,179,861	01/30/01	Khosravi et al.			

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
/DE/	6,179,859	01/30/01	Bates et al.			
	6,179,851	01/30/01	Barbut et al.			
	6,171,328	01/09/01	Addis			
	6,171,327	01/09/01	Daniel et al.			
	6,168,579	01/02/01	Tsugita			
	6,165,200	12/26/00	Tsugita et al.			
	6,152,946	11/28/00	Broome et al.			
	6,142,987	11/07/00	Tsugita			
	6,136,016	10/24/00	Barbut et al.			
	6,129,739	10/10/00	Khosravi			
	6,117,154	09/12/00	Barbut et al.			
	6,086,605	07/11/00	Barbut et al.			
	6,068,645	05/30/00	Tu			
	6,066,158	05/23/00	Engelson et al.			
	6,066,149	05/23/00	Samson et al.			
	6,059,814	05/09/00	Ladd			
	6,053,932	04/25/00	Daniel et al.			
	6,051,015	04/18/00	Maahs			
	6,051,014	04/18/00	Jang			
	6,042,598	03/28/00	Tsugita et al.			
	6,027,520	02/22/00	Tsugita et al.			
	6,013,085	01/11/00	Howard			
	6,010,522	01/04/00	Barbut et al.			
	6,007,557	12/28/99	Ambrisco et al.			
	6,001,118	12/14/99	Daniel et al.			
✓	5,997,557	12/07/99	Barbut et al.			

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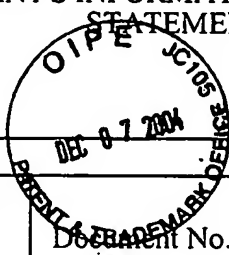
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IDE/	5,993,469	11/30/99	McKenzie et al.			
	5,989,281	11/23/99	Barbut et al.			
	5,980,555	11/09/99	Barbut et al.			
	5,989,271	11/23/99	Bonnette et al.			
	5,989,210	11/23/99	Morris et al.			
	5,976,172	11/02/99	Homsma et al.			
	5,954,745	09/21/99	Gertler et al.			
	5,951,585	09/14/99	Cathcart et al.			
	5,947,995	09/07/99	Samuels			
	5,941,896	08/24/99	Kerr			
	5,941,869	08/24/99	Patterson et al.			
	5,938,645	08/17/99	Gordon			
	5,935,139	08/10/99	Bates			
	5,934,284	08/10/99	Plaia et al.			
	5,928,218	07/27/99	Gelbfish			
	5,928,203	07/27/99	Davey et al.			
	5,925,063	07/20/99	Khosravi			
	5,925,062	07/20/99	Purdy			
	5,925,060	07/20/99	Forber			
	5,925,016	07/20/99	Chornenky et al.			
	5,916,193	06/29/99	Stevens et al.			
	5,911,734	06/15/99	Tsugita et al.			
	5,910,154	06/08/99	Tsugita et al.			
	5,908,435	06/01/99	Samuels			
	5,906,618	05/25/99	Larson, III			
✓	5,902,263	05/11/99	Patterson et al.			

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/DE/	5,895,399	04/20/99	Barbut et al.			
	5,893,867	04/13/99	Bagaoisan et al.			
	5,876,367	03/02/99	Kaganov et al.			
	5,848,964	12/15/98	Samuels			
	5,846,260	12/08/98	Maahs			
	5,833,650	11/10/98	Imran			
	5,833,644	11/10/98	Zadno-Azizi et al.			
	5,827,324	10/27/98	Cassell et al.			
	5,817,102	10/06/98	Johnson et al.			
	5,814,064	09/29/98	Daniel et al.			
	5,810,874	09/22/98	Lefebvre			
	5,800,525	09/01/98	Bachinski et al.			
	5,800,457	09/01/98	Gelbfish			
	5,797,952	08/25/98	Klein			
	5,795,322	08/18/98	Boudewijn			
	5,792,157	08/11/98	Mische et al.			
	5,792,300	08/11/98	Inderbitzen et al.			
	5,779,716	07/14/98	Cano et al.			
	5,769,816	06/23/98	Barbut et al.			
	5,749,848	05/12/98	Jang et al.			
	5,746,758	05/05/98	Nordgren et al.			
	5,728,066	03/17/98	Daneshvar			
	5,720,764	02/24/98	Naderlinger			
	5,709,704	01/20/98	Nott et al.			
	5,695,519	12/09/97	Summers et al.			
V	5,669,933	09/23/97	Simon et al.			

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
/DE/	5,662,671	09/02/97	Barbut et al.			
	5,658,296	08/19/97	Bates et al.			
	5,634,897	06/03/97	Dance et al.			
	5,569,275	10/29/96	Kotula et al.			
	5,569,274	10/29/96	Rapacki et al.			
	5,562,724	10/08/96	Vowerk et al.			
	5,549,626	08/27/96	Miller et al.			
	5,540,707	07/30/96	Ressemann et al.			
	5,536,242	07/16/96	Willard et al.			
	5,527,354	06/18/96	Fontaine et al.			
	5,512,044	04/30/96	Duer			
	5,507,767	04/16/96	Maeda et al.			
	5,484,418	01/16/96	Quiachon et al.			
	5,476,104	12/19/95	Sheahon			
	5,462,529	10/31/95	Simpson et al.			
	5,456,667	10/10/95	Ham et al.			
	5,449,372	09/12/95	Schmaltz et al.			
	5,443,498	08/22/95	Fontaine			
	5,425,765	06/20/95	Tiefenbrun et al.			
	5,423,885	06/13/95	Williams			
	5,423,742	06/13/95	Theron			
	5,421,832	06/06/95	Lefebvre			
	5,419,774	05/30/95	Willard et al.			
	5,415,630	05/16/95	Gory et al.			
	5,409,454	04/25/95	Fischell et al.			
V	5,405,377	04/11/95	Cragg			

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/DE/	5,397,345	03/14/95	Lazerus			
	5,395,349	03/07/95	Quiachon et al.			
	5,387,235	02/07/95	Chuter			
	5,383,926	01/24/95	Lock et al.			
	5,383,892	01/24/95	Cardon et al.			
	5,383,887	01/24/95	Nadal			
	5,376,100	12/27/94	Lefebvre			
	5,370,683	12/06/94	Fontaine			
	5,370,657	12/06/94	Irie			
	5,366,473	11/22/94	Winston et al.			
	5,366,464	11/22/94	Belknap			
	5,356,423	10/18/94	Tihon et al.			
	5,354,310	10/11/94	Garnic et al.			
	5,350,398	09/27/94	Pavcnik et al.			
	5,330,500	07/19/94	Song			
	5,330,484	07/19/94	Gunther			
	5,329,942	07/19/94	Gunther et al.			
	5,318,576	06/07/94	Plassche, Jr. et al.			
	5,314,472	05/24/94	Fontaine			
	5,314,444	05/24/94	Gianturco			
	5,306,286	04/26/94	Stack et al.			
	5,224,953	07/06/93	Morgentaler			
	5,195,955	03/23/93	Don Michael			
	5,190,546	03/02/93	Jervis			
	5,171,233	12/15/92	Amplatz et al.			
V	5,160,342	11/03/92	Reger et al.			

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/DE/	5,152,777	10/06/92	Goldberg et al.			
	5,152,771	10/06/92	Sabbaghian et al.			
	5,135,531	08/04/92	Shiber			
	5,133,733	07/28/92	Rasmussen et al.			
	5,108,419	04/28/92	Reger et al.			
	5,104,399	04/14/92	Lazarus			
	5,102,415	04/07/92	Guenther et al.			
	5,100,425	03/31/92	Fischell et al.			
	5,100,424	03/31/92	Jang et al.			
	5,100,423	03/31/92	Fearnot			
	5,087,265	02/11/92	Summers			
	5,085,662	02/04/92	Willard			
	5,071,425	12/10/91	Gifford, III et al.			
	5,071,407	12/10/91	Termin et al.			
	5,053,044	10/01/91	Mueller et al.			
	5,053,008	10/01/91	Bajaj			
	5,041,126	08/20/91	Gianturco			
	5,019,088	05/28/91	Farr			
	5,011,488	04/30/91	Ginsburg			
	5,007,917	04/16/91	Evans			
	5,007,896	04/16/91	Shiber			
	5,002,560	03/26/91	Machold et al.			
	4,998,539	03/12/91	Delsanti			
	4,986,807	01/22/91	Farr			
	4,979,951	12/25/90	Simpson			
✓	4,969,891	11/13/90	Gewertz			

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/DE/	4,957,482	09/18/90	Shiber			
	4,955,895	09/11/90	Sugiyama et al.			
	4,950,277	08/21/90	Farr			
	4,926,858	05/22/90	Giffort, III et al.			
	4,921,484	05/01/90	Hillstead			
	4,921,478	05/01/90	Solano et al.			
	4,907,336	03/13/90	Gianturco			
	4,898,575	02/06/90	Fischell et al.			
	4,873,978	10/17/89	Ginsburg			
	4,867,157	09/19/89	McGurk-Burleson et al.			
	4,857,046	08/15/89	Stevens et al.			
	4,857,045	08/15/89	Rydell			
	4,842,579	06/27/89	Shiber			
	4,807,626	02/28/89	McGirr			
	4,800,882	01/31/89	Gianturco			
	4,794,931	01/03/89	Yock			
	4,794,928	01/03/89	Kletschka			
	4,790,813	12/13/88	Kensey			
	4,790,812	12/13/88	Hawkins, Jr. et al.			
	4,733,665	03/29/88	Palmaz			
	4,728,319	03/01/88	Masch			
	4,723,549	02/09/88	Wholey et al.			
	4,706,671	11/17/87	Weinrib			
	4,705,517	11/10/87	DiPisa, Jr.			
	4,662,885	05/05/87	DiPisa, Jr.			
V	4,650,466	03/17/87	Luther			

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/DE/	4,643,184	02/17/87	Mobin-Uddin			
	4,631,052	12/23/86	Kensey			
	4,619,246	10/28/86	Molgaard-Nielsen et al.			
	4,590,938	05/27/86	Segura et al.			
	4,580,568	04/08/86	Gianturco			
	4,447,227	05/08/84	Kotsanis			
	4,425,908	01/17/84	Simon			
	4,046,150	09/06/77	Schwartz et al.			
	3,996,938	12/14/76	Clark, III			
	3,952,747	04/27/76	Kimmell, Jr.			
	3,889,657	06/17/75	Baumgarten			
	3,683,904	08/15/72	Forster			
	3,592,186	07/13/71	Oster			
	3,472,230	10/1969	Fogarty			
	Re 33,569	04/1991	Gifford, III et al.			
↓	B1 4,842,579	10/31/95	Shiber			

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
/DE/	WO 00/49970	08/31/00	WIPO	A61F	2/01	
	WO 00/16705	03/30/00	WIPO	A61B	17/22	
	WO 00/09054	02/24/00	WIPO	A61F	7/12	
	WO 00/07655	02/17/00	WIPO	A61M	29/00	
	WO 99/58068	11/18/99	WIPO	A61B	17/22	
↓	WO 99/55236	11/04/99	WIPO	A61B	17/00	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
/DE/		WO 99/44542	09/10/99	WIPO	A61F	2/06	
		WO 99/44510	09/10/99	WIPO	A61B	17/00	
		EP 0 934 729	08/11/99	WIPO	A61B	17/22	
		WO 99/42059	08/26/99	WIPO	A61F	2/06	
		WO 99/40964	08/19/99	WIPO	A61M	29/02	
		WO 99/30766	06/24/99	WIPO	A61M	29/00	
		WO 99/25252	05/27/99	WIPO	A61B	17/00	
		WO 99/23976	05/20/99	WIPO	A61F	2/01	
		WO 99/22673	05/14/99	WIPO	A61F	2/01	
		FR 2 768 326 A1	03/19/99	FR	A61F	02/01	English Abstract
		WO 99/09895	03/04/99	WIPO	A61B	17/12	
		WO 98/55175	12/10/98	WIPO	A61M	29/00	
		WO 98/51237	11/19/98	WIPO	A61F	2/01	
		WO 98/50103	11/12/98	WIPO	A61M	29/00	
		WO 98/49952	11/12/98	WIPO	A61B	17/32	
		WO 98/47447	10/29/98	WIPO	A61F	2/06	
		WO 98/46297	10/22/98	WIPO	A61M	29/00	
		WO 98/39053	09/11/98	WIPO	A61M	29/00	
		WO 98/39046	09/11/98	WIPO	A61M	25/00	
		WO 98/38929	09/11/98	WIPO	A61B	17/22	
		WO 98/38920	09/11/98	WIPO	A61B	17/00	
		WO 98/36786	08/27/98	WIPO	A61M	5/32	
		WO 98/34673	08/13/98	WIPO	A61M	31/00	
		WO 98/33443	08/06/98	WIPO	A61B	17/22	
		EP 0 852 132 A1	07/08/98	EPO	A61F	2/01	English Abstract
✓		WO 98/23322	06/04/98	WIPO	A61M	29/00	

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<b>FORM PTO-1449</b>	Atty. Docket No.: 1001.1526101	Serial No.: 10/772,979
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	Applicant: Wayne A. Becker et al.	
	Filing Date: February 5, 2004	Group Art: 3731

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
/DE/		WO 98/02084	01/22/98	WIPO	A61B		
		WO 98/02112	01/22/98	WIPO	A61F	2/01	English Abstract
		WO 97/42879	11/20/97	WIPO	A61B	17/00	
		WO 97/27808	08/07/97	WIPO	A61B	17/22	
		EP 0 784 988 A1	07/23/97	EPO	A61M	5/165	
		WO 97/17100	05/15/97	WIPO	A61M	29/00	
		EP 0 771 549 A2	05/07/97	EPO	A61F	2/01	English Abstract
		EP 0 759 287 A1	02/26/97	EPO	A61F	2/01	English Abstract
		EP 0 743 046 A1	11/20/96	EPO	A61F	2/01	
		WO 96/33677	10/31/96	WIPO	A61F	11/00	
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		WO 96/23441	08/08/96	WIPO	A61B	5/00	
		JP 8-187294 A	07/23/96	JP	A61M	29/00	English Abstract
		WO 96/19941	07/04/96	WIPO	A61B	17/00	
		WO 96/10375	04/11/96	WIPO	A61F	2/06	
		WO 96/01591	01/25/96	WIPO	A61B	17/22	
		EP 0 696 447 A2	02/14/96	EP	A61F	2/06	
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		EP 0 655 228 A1	11/22/94	EPO	A61F	2/02	English Abstract
		WO 94/24946	11/10/94	WIPO	A61B	17/22	
		WO 94/14389	07/07/94	WIPO	A61F	2/02	English Abstract
		EP 0 533 511 A1	03/24/93	EP	A61M	29/02	
		FR 2 666 980	03/27/92	FR	A61F	2/02	English Abstract
		WO 92/03097	03/05/92	WIPO	A61B	17/00	
		EP 0 472 368 A2	02/26/92	EP	A61B	17/22	
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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
/DE/		EP 0 437 121 B1	07/17/91	EP	A61F	2/02	
		EP 0 427 429 A2	05/15/91	EPO	A61M	25/10	
		EP 0 411 118 A1	02/06/91	EP	A61M	25/00	
		DE 40 30 998 A1	10/1990	Germany	A61F	2/01	
		FR 2 643 250 A1	08/24/90	FR	A61B	17/00	English Abstract
		WO 88/09683	12/15/88	WIPO	A61M	29/02	
		EP 0 293 605 A1	12/07/88	EP	A61F	2/02	
		FR 2 580 504	10/24/86	FR	A61M	1/00	English Abstract
		EP 0 200 688	11/05/86	EP	A61B	17/22	
		DE 34 17 738	11/14/85	DE	A61M	1/34	Yes
		SU 764684	09/25/80	U.S.S.R.	A61M	25/00	Yes
		UK 2 020 557 B	01/19/83	UK	A61B	17/50	
↓		DE 28 21 048	07/17/80	DE	A61B	17/22	

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/DE/		"Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke," <u>The New England Journal of Medicine</u> , pp. 1216-1221 (May 1996)
		"Endovascular Grafts, Stents Drive Interventional Radiology Growth," <u>Cardiovascular Device Update</u> , 2(3):1-12 (March 1996)
		"Protruding Atheromas in the Thoracic Aortic and Systemic Embolization," pp. 423-427 American College of Physicians (1991)
		"Recognition and Embolic Potential of Intraaortic Atherosclerotic Debris," American College of Cardiology (January 1991)
		Cragg, Andrew et al., "A New Percutaneous Vena Cava Filter," <u>AJR</u> , 141:601-604 (September 1983)
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Wayne A. Becker et al.	
	Filing Date: February 5, 2004	Group Art: 3731

/DE/		Diethrich et al., "Percutaneous Techniques for Endoluminal Carotid Interventions," <u>J. Endovasc. Surg.</u> , 3:182-202 (1996)
		Fadali, A. Moneim, "A filtering device for the prevention of particulate embolization during the course of cardiac surgery," <u>Surgery</u> , 64(3):634-639 (September 1968)
		Haissaguerre et al., "Spontaneous Initiation of Atrial Fibrillation by Ectopic Beats Originating in the Pulmonary Veins," <u>The New England Journal of Medicine</u> , 339(10):659-666 (September 1988)
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		Marache et al., "Percutaneous Transluminal Venous Angioplasty...", <u>American Heart Journal</u> , 125(2 Pt 1):362-366 (February 1993)
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<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number:		Application Number:	
	1001.1526101		10/772,979	
	Applicant: Becker et al.			
	Filing Date: February 5, 2004		Group Art Unit: 3731	

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